



## Structural evolution of the Day Nui Con Voi metamorphic complex: Implications on the development of the Red River Shear Zone, Northern Vietnam

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### ABSTRACT

The Day Nui Con Voi (DNCV) metamorphic complex in North Vietnam is the southernmost high-grade metamorphic zone along the NW–SE trending Red River Shear Zone (RRSZ) in Indochina. The RRSZ was considered as a classical large-scale continental strike-slip fault that had played a significant role in the continental extrusion of Southeast Asia since the collision of India and Eurasia. Earlier ideas determined the RRSZ as a steep shear zone that penetrated the entire lithosphere. Both metamorphism and structures within rocks along the DNCV metamorphic complex have been previously thought to be formed syn-tectonically by left-lateral shearing of the RRSZ during the Oligocene–Miocene continental escape tectonics. However, our meso- and microstructural re-examination of this region shows that these metamorphic rocks were formed during earlier tectonic episodes unrelated to strike-slip shearing. High angle to near orthogonal overprinting fabrics indicated that this region recorded three episodes of ductile deformation followed by brittle faulting events with different intensity spanning from the Triassic to the Tertiary.  $D_1$  is preserved as NW–SE striking upright folds under garnet grade regional metamorphism during the Triassic Indosinian orogeny as South China block amalgamated with the Indochina block. The large-scale horizontal  $D_2$  folds with a dominant top to N–NW bottom to S–SW sense of shear, and sub-horizontal fold axial planes suggest that the DNCV metamorphic complex remained at midcrustal depths since the Indosinian orogeny. The youngest ductile deformation event,  $D_3$ , refolded  $D_2$  recumbent folds into a dome, and uplifted the DNCV as lower-temperature fabrics,  $S_3$ , indicated. Steep mylonite zones with left-lateral kinematic indicators and brittle faulting were developed on both limbs of the dome along the steep Song Hong and Song Chay faults during left-lateral movement of the RRSZ. Our new spatial, temporal and kinematic correlations of metamorphic fabrics within the DNCV metamorphic complex support the suggestion that the RRSZ developed after regional metamorphism and remained purely a crustal fault. The complicated deformation history recorded within the DNCV metamorphic complex provides an alternative interpretation and suggests that crustal scale strike-slip faults (such as the RRSZ) need not root from the mantle.

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### 1. Introduction

The indentation–extrusion tectonic model proposed by Tapponnier et al. (1982, 1986) stated that as a consequence to the India–Eurasia collision, large coherent continental masses extruded along major strike-slip faults which resulted in a large portion of

the deformation visible in Asia. The Cenozoic tectonic evolution of the Red River Shear Zone (RRSZ) is of crucial importance, being one of the largest strike-slip faults in Southeast Asia. However, critical factors, such as the total finite offsets, timing of strike-slip motion and scale of the fault (crust or mantle) remain controversial (e.g., Molnar and Tapponnier, 1975; Harrison et al., 1992, 1996; Lacassin et al., 1993; Leloup et al., 1995, 2001a,b, 2007; Tapponnier et al., 1982, 1990; Wang et al., 1998, 2000, 2001; Nagy et al., 2001; Jolivet et al., 2001; Gilley et al., 2003; Searle, 2006, 2007; Anczkiewicz et al., 2007; Viola and Anczkiewicz, 2008). The indentation–extrusion model treats the continental lithosphere as a rigid medium with deformation concentrated along major deep rooted strike-slip faults that cut through the entire lithosphere, while little

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to no deformation occurred between (Tapponnier et al., 1982, 1986, 1990), England and McKenzie (1982), Burchfiel et al. (1989), Wang and Burchfiel (1997), and Jolivet et al. (2001) challenged this assumption and advocated a horizontal shear zone at midcrustal level as a detachment root for these major strike-slip faults while the strike-slip faults are purely crustal. The key issue to this debate is whether the upper crust is mechanically decoupled from the underlying lower crust–mantle by sub-horizontal detachment faults (Jolivet et al., 2001; Searle, 2006). Correlating flat-lying foliations formed under high-T sillimanite-grade conditions with steep post-sillimanite shear bands formed under low-T conditions, observed in the core of the Dan Nui Con Voi (DNCV) antiformal dome along the RRSZ, Jolivet et al. (2001) and Searle (2006, 2007) interpreted that low temperature deformation was localized in the upper crust, and that the RRSZ must root in a horizontal shear zone at a midcrustal level. Since shear heating alone could not result in high-grade metamorphic conditions as observed in the DNCV metamorphic complex, Searle (2006) also argued that vertical strike-slip shearing of the RRSZ occurred after metamorphism and granite emplacement.

As Jolivet et al. (2001) and Searle (2006) showed for the DNCV, an important requirement for understanding the development of shear complexes and processes of crustal deformation is the comprehension of relative timing of metamorphism, magmatism and deformation. Porphyroblasts–matrix microstructural relationships can provide useful information on a wide range of geological problems, including: (1) metamorphic P–T–t paths; (2) relative timing between deformation, metamorphism and magmatism; and (3) shear senses during foliation development (e.g., Bell and Rubenach, 1983; Bell et al., 1986, 1998; Johnson and Vernon, 1995). One of the requirements for successfully using foliation and porphyroblast relations is the identification of the number of fabric-forming events and the relative timing between these events and porphyroblast growth. The relative timing of metamorphism and deformation events can be resolved through the systematic use of cross-cutting relationships of multiple foliations and porphyroblasts (e.g., Bell and Rubenach, 1983; Davis and Forde, 1994). Within this context, sample collection and structural observation were made along major roads parallel to, and crossing the DNCV metamorphic complex in five sub-regions: Lao Cai, Bao Ha, Yan Bai, Viet Tri and Nam Dinh (Fig. 1). Detailed macro- and microstructural correlation of these samples has established a lengthy structural evolution of the DNCV metamorphic complex in Northern Vietnam. This has allowed the reevaluation of tectonic models that link Oligocene–Miocene left-lateral movement.

## 2. Geological setting

The ~30 km wide, 250 km long, NW–SE trending DNCV metamorphic complex in Northern Vietnam is the southernmost of four elongated metamorphic segments (Xuelong Shan, Diancang Shan, Ailao Shan in China, and DNCV in Vietnam) of the RRSZ. The DNCV metamorphic complex merges with the Ailao Shan from Lao Cai to the north and plunges under the Quaternary Song Hong Delta plain to the south around Viet Tri, and is bounded against Neogene–Quaternary basins on both sides by the NW–SE trending, steeply (ca. 70°) NE dipping, Song Hong and Song Chay strike-slip faults (Fig. 1). The DNCV consists mainly of amphibolite, migmatite, garnet–biotite–sillimanite gneiss, garnet bearing schists and marbles. It contains well-developed foliations that mostly strike NW–SE, parallel to the general trend of the RRSZ. Petrological and thermobarometric studies revealed two deformation stages, at amphibolite facies (690 °C and 6–7 kbar; Nam et al., 1998), up to 780–830 °C and 7.5–8.8 kbar (Gilley et al., 2003) or between 700–800 °C and 5–9 kbar (Anczkiewicz et al., 2007) and greenschist facies (480 °C, <3 kbar; Nam et al., 1998). These data indicate

intense deformation and deep metamorphic conditions, which are equivalent to ~30 km of depth during the peak metamorphism (Searle, 2006). Thermochronological results are complex to interpret but indicate metamorphism since the Triassic (Nam et al., 1998; Maluski et al., 2001; Gilley et al., 2003). Exhumation and cooling remained slow till 28 Ma. (Harrison et al., 1996; Wang et al., 1998, 2000, 2001), followed by rapid cooling and further exhumation to 21 Ma, and after 5 Ma (Burchfiel and Wang, 2003; Burchfiel, 2004).

### 2.1. Cenozoic activity of RRSZ – Vietnam portion

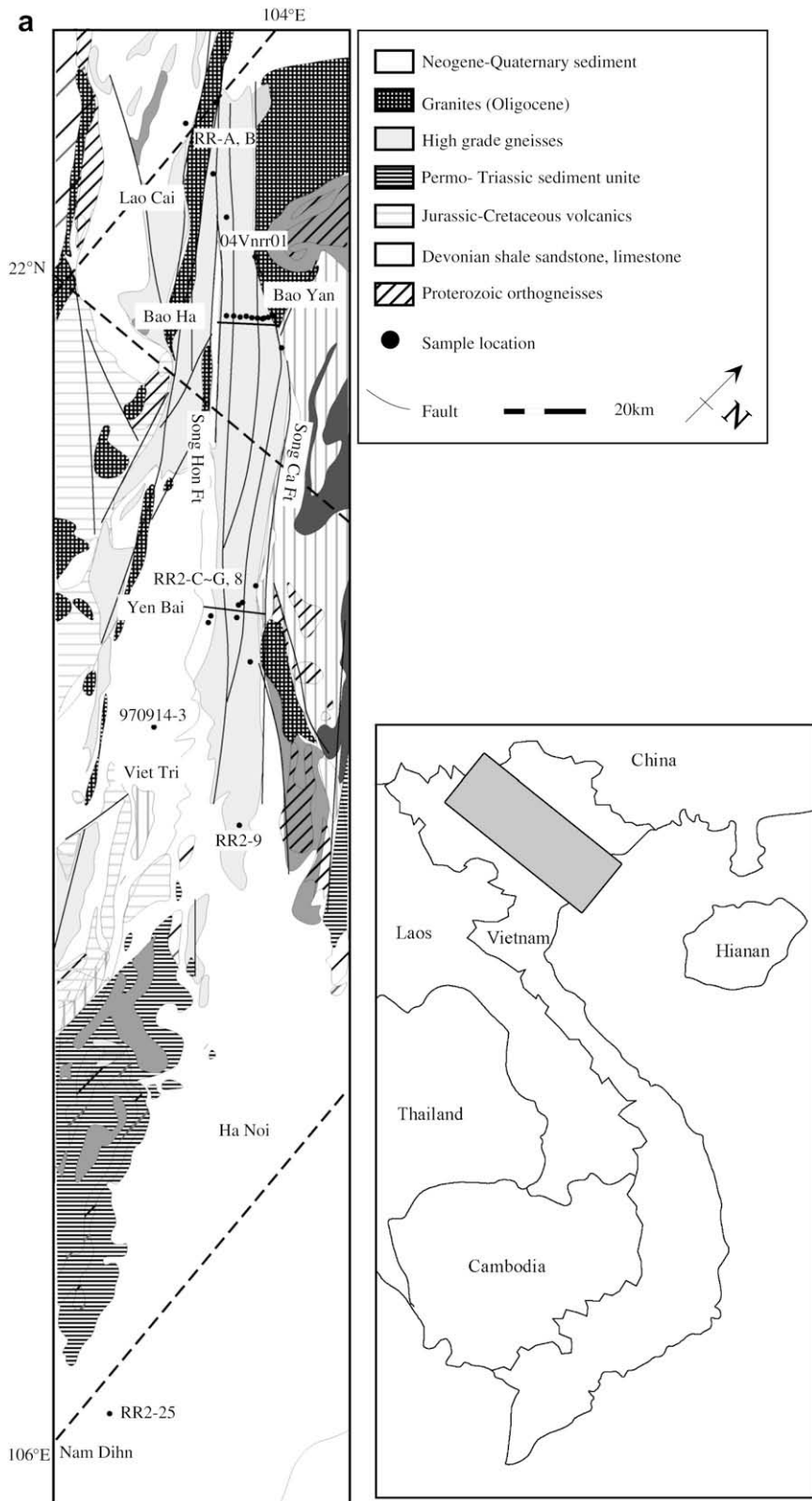
Recent geochronological studies have revealed the occurrence of two tectonothermal episodes during Triassic (Maluski et al., 1995, 2001; Lepvrier et al., 1997; Nam, 1998; Nam et al., 2003) and Tertiary (Tapponnier et al., 1990; Scharer et al., 1990, 1994; Wang et al., 1998, 2000; Jolivet et al., 1999; Gilley et al., 2003; Searle, 2006) for the Vietnam portion of RRSZ. The Tertiary tectonothermal episode is composed of two periods of Cenozoic strike-slip motion that are generally considered to be most influential in the geological evolution of the RRSZ. One is the large-scale sinistral strike-slip displacement of the RRSZ as Indochina extruded southeastwardly during Miocene (e.g., Scharer et al., 1990, 1994; Tapponnier et al., 1990; Harrison et al., 1992, 1996; Leloup and Kienast, 1993; Hall, 1996; Chung et al., 1997; Wang et al., 1998, 2000, 2001; Jolivet et al., 2001; Liang et al., 2007). This major left-lateral ductile shear is considered to be responsible for exhuming the high-grade rocks of the DNCV metamorphic complex (Nam, 1998; Nam et al., 1998; Jolivet et al., 2001; Anczkiewicz et al., 2007). Current geomorphologic and geodetic data indicated the RRSZ as a dextral strike-slip fault zone (Allen et al., 1984; Nguyen, 1986; Zhao, 1995; Burchfiel and Wang, 2003). Cong and Feigl (1999) and Jolivet et al. (2001) suggested that the strike-slip motion changed from sinistral to dextral with a normal component during the Late Miocene. Recent geodetic data suggested this right-lateral motion remains unchanged (Cong and Feigl, 1999). However, by estimating horizontal strain rates from GPS measurements across the RRSZ near Thac Ba, Vietnam from 1963 to 2000, Feigl et al. (2003) concluded that the RRSZ is currently inactive.

## 3. Structural analysis

Meso- and microstructural measurements were made on outcrops and oriented thin sections of 25 samples composed of gneiss, schist, amphibolite and mylonite through the Lao Cai, Bao Ha, Yen Bai, Viet Tri and Nam Dinh regions (Fig. 1 and Table 1). Two transects across the complex were conducted along roads between Bao Yan to Bao Ha (Route 279), and between Yen Bine to Yen Bai, respectively. Consistent deformation patterns and sequences were noted through all study areas from Lao Cai to Nam Dinh suggesting that the DNCV metamorphic complex experienced the same deformation condition throughout its length in Vietnam.

### 3.1. Field relationships and mesoscopic structures

The most dominant structural feature seen in all outcrops are refolded sub-horizontal isoclinal folds ( $F_2$ ) with sub-horizontal to shallowly plunging NW–SE trending stretching lineations (Fig. 2). Sub-horizontal to shallow dipping axial planes ( $S_2$ ) are folded by steep NW–SE striking axial planes ( $S_3$ ), which form open to tight antiformal-domal structures ( $F_3$ ). Both limbs of the NW–SE trending upright  $F_3$  antiformal dome are bounded by two well-developed mylonite zones parallel to the Song Hon and Song Ca faults. Understanding the spatial and temporal relationships of these macroscopic folds within multiply deformed terrains provides important constraints on tectonic models of continental collision.



**Fig. 1.** Structural map and stereographic projections (S hammer sphere, equal area) of the D<sub>1</sub> to D<sub>4</sub> structures of the Day Nui Con Voi (DNCV) metamorphic complex, North Vietnam. (a) Generalized geological map of the DNCV metamorphic complex in Northern Vietnam showing outcrop and sample locations (modified from 1:1,500,000 geological map, General Geological Department of Vietnam, 1983). (b) Two interpretive cross-sections of Yen Bai, and Bao Yen to Bao Ha. (c) Equal area stereographic projections (S hemisphere) of poles to S<sub>e/1</sub>, S<sub>1</sub>, S<sub>2</sub> and S<sub>3</sub> foliation planes, fold axis (outcrop measurements – solid squares; microstructural measurements – open squares), mineral stretching lineations (stars), and faults with Modal plane and fault plane solution done by FaultKin Win. The arrow indicates the movement vector determined from slick and slid on the fault plane. Both macro structural and microstructural measurements showed the same pattern that S<sub>2</sub> foliation shows a cylindrical folding pattern, and the stretching lineation is parallel to the D<sub>3</sub> fold axes.

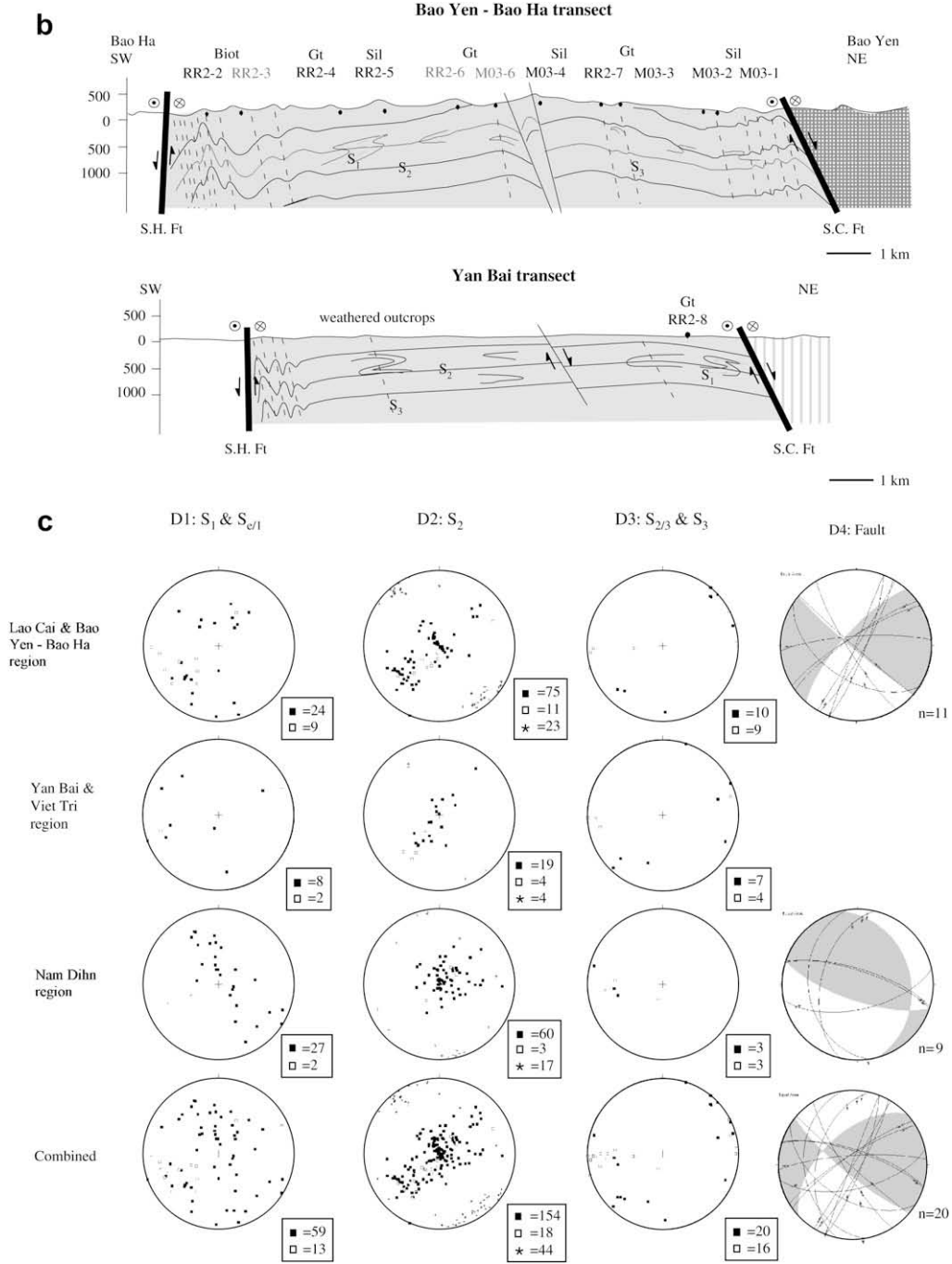


Fig. 1. (continued).

Correctly dating such folds relative to the overall deformation history can be difficult. Thus, their timing has generally been determined via mesoscopic analysis of cleavage vergence as a geometric means of determining whether a foliation is sub-parallel to the axial plane of a macroscopic fold, assuming the fold and axial plane cleavage formed during the same deformation event (Hobbs et al., 1976).

Throughout the Bao Ha to Bao Yan transect, all outcrops contain tight centimeter to meter scale matrix parallel isoclinal folds ( $F_2$ ) that are folded into NW–SE open upright folds ( $F_3$ ) with NW–SE trending sub-horizontal mineral stretching lineation showing type twofold interference pattern (Ramsay, 1967; Fig. 2). The original orientation of the early  $F_2$  axial planes ( $S_2$ ) measured from  $F_3$  hinges

and regions less affected by the  $F_3$  folding event were sub-horizontal. Similar fold interference patterns were also observed and measured from other regions throughout the DNCV (Fig. 2a) indicating that the DNCV experienced at least two folding events. A few outcrops from the Bao Ha to Bao Yan transect, Yen Bai, Viet Tri and Nam Dihn contain tight isoclinal upright folds ( $F_1$ ) with NW–SE sub-vertical axial planes ( $S_1$ ) folded by sub-horizontal folds ( $F_2$ ; Fig. 2b). We can distinguish these isoclinal folds with NW–SE striking axial planes resulting from an older folding event since these folds were folded by  $F_2$ . Based on superposition relationships, the sequence of deformation was earliest NW–SE upright folds ( $F_1$ ) folded/or inclined into tight isoclinal horizontal folds ( $F_2$ ). The horizontal folds were then folded again into shear zone oblique

**Table 1**  
Sample list showing their location, rock type and matrix mineral assemblages

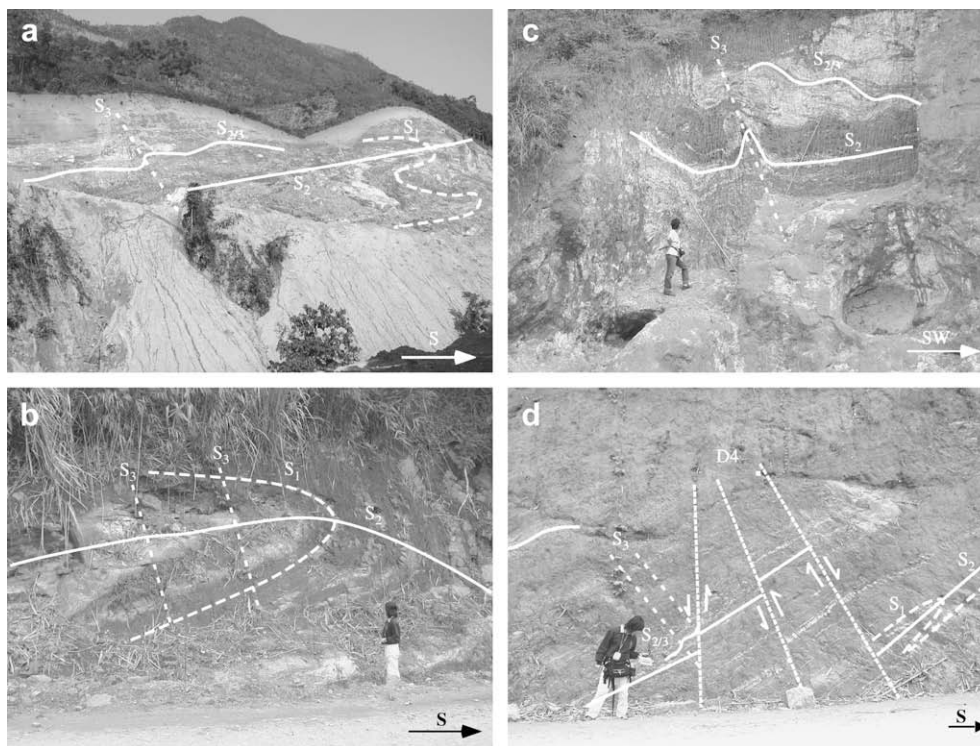
Section	Sample no.	Longitude (E°)	Latitude (N°)	Rock type	Qt	Plag	Fels	Biot	Muscov	Amph	Cl	Gt	Tm	Sil
BB	04vnrr-1a	104.34400	22.30870	mylonite	+	–	+	+	fish	–	+	–	–	–
BB	04vnrr-1b	104.34400	22.30870	mylonite	+	–	+	+	fish	–	+	–	–	–
BB	RR2-2	104.35596	22.18238	mylonite	+	–	+	+	fish	–	+	–	–	–
BB	RR2-3A	104.36530	22.18367	amphibolite	+	+	+	+	–	+	–	–	–	–
BB	RR2-3B	104.36530	22.18367	amphibolite	+	+	+	+	–	+	–	–	–	–
BB	RR2-4	104.39100	22.19946	gneiss	+	+	+	+	–	–	–	+	–	+
BB	RR2-5A	104.39174	22.19858	gneiss	+	+	+	+	–	–	–	+	–	+
BB	RR2-5B	104.39174	22.19858	gneiss	+	+	+	+	–	–	–	+	–	+
BB	RR2-6A	104.41354	22.21035	amphibolite	+	+	+	+	–	+	–	+	–	–
BB	RR2-6B	104.41354	22.21035	amphibolite	+	+	+	+	–	+	–	+	–	–
BB	M03-6b	104.41420	22.21060	amphibolite	+	+	+	+	–	+	+	–	–	–
BB	M03-4	104.43390	22.21840	gneiss	+	+	+	+	–	–	+	+	–	+
BB	M03-3	104.45020	22.23100	gneiss	+	+	+	+	–	–	+	+	–	+
BB	M03-1A	104.45040	22.23530	gneiss	+	+	+	+	–	–	–	+	–	+
BB	M03-1B	104.45040	22.23530	gneiss	+	+	+	+	–	–	–	+	–	+
BB	M03-2	104.45200	22.23390	gneiss	+	+	+	+	–	–	–	+	–	+
BB	RR2-1	104.53278	22.20355	gneiss	+	+	+	+	–	–	+	–	–	–
YB	9709143	104.90806	21.79917	gneiss	+	+	+	+	–	–	+	+	–	+
YB	RR2-8	104.90854	21.80000	gneiss	+	+	+	+	–	–	+	+	–	+
YB	RR2-9A	105.30664	21.40876	gneiss	+	+	+	+	–	–	–	+	–	–
YB	RR2-9B	105.30664	21.40876	gneiss	+	+	+	+	–	–	+	+	–	–
VT	RR2-7	104.45019	22.23098	gneiss	+	+	+	+	–	–	+	+	–	–
NB	07yb005a	106.07420	20.32900	gneiss	+	+	+	+	+	–	–	+	+	+
NB	M03-25a	106.07680	20.32990	gneiss	+	+	+	+	+	–	–	+	+	+
NB	M03-25b	106.07680	20.32990	gneiss	+	+	+	+	+	–	–	+	+	+

BB = Bao Ha to Bao Yen section, YB = Yen Bai section, VT = Viet Tri section and NB = Nim Dihn section. For matrix mineral assemblages, + marks the presence of such mineral, and – marks the absence of such mineral. Qt = quartz, Plag = plagioclase, Fels = feldspar, Biot = biotite, Muscov = muscovite, Amph = amphibole, Cl = chlorite, Gt = garnet, Tm = turmaline, Sil = sillimanite.

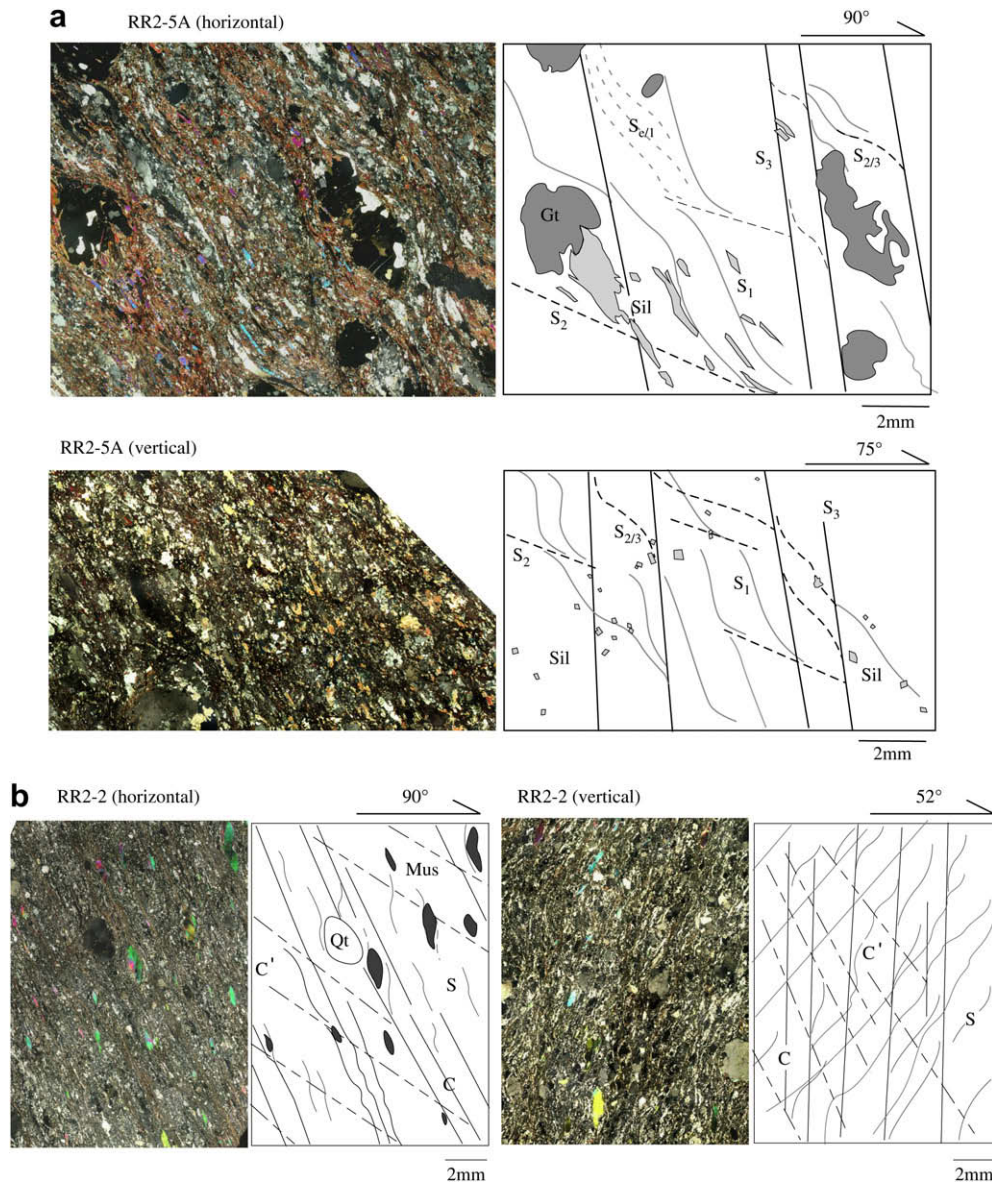
(NNW–SSE to N–S) antiformal domes bounded by shear zone parallel mylonite zones along both limbs. All these folds were then cut by left-lateral and normal faults. Conjugating NE–SW trending right-lateral faults were also recorded (Fig. 1) indicating that brittle deformation occurred after ductile deformation.

### 3.2. Microstructural relationships

The gneiss samples usually contain a strong schistosity marked by biotite, quartz, and sillimanite ribbons (Fig. 3a and c). Overprinting relations between four matrix fabrics ( $S_{e/1}$ ,  $S_1$ ,  $S_2$  and



**Fig. 2.** Photographs showing fold interference pattern and structures observed along the DNCV.  $S_1$ , fold axial planer to  $F_1$ , is marked by long dash lines.  $S_2$ , fold axial planer to  $F_2$ , is marked by solid line,  $S_3$  by short dash lines, and  $D_4$  faults by dot lines. Numbers refer to the ordering of deformation phases within the shear zone. See text for explanation. (a) Viewing towards E, outcrop along Bao Ha–Bao Yan transect with relic of  $F_1$  fold ( $S_1$ ) folded into large-scale sub-horizontal isoclinal folds ( $F_2$ ). (b) Outcrop along Bao Ha–Bao Yan transect with sub-horizontal isoclinal fold ( $F_2$ ) folded by  $F_3$  with steep fold axial plane,  $S_3$ . (c) Outcrop north of Viet Tri showing complex fold interference pattern between  $F_2$  and  $F_3$ . Shear bends indicate top to N sense of shear is observed for  $D_2$ . (d) Outcrop along Bao Ha–Bao Yan transect showing  $D_4$  normal faults forming graben with faults cutting through all other structures:  $S_1$ ,  $S_2$  and  $S_3$ .



**Fig. 3.** Microphotograph and accompanying interpretative line diagram illustrating the overprinting relation of matrix foliation from  $S_{e/1}$  to  $S_3$ , or  $S-C-C'$ , their kinematics, and sillimanite and garnet (Gt) porphyroblasts. Vertical (high angle to the main matrix foliation) and horizontal thin sections, arrow indicates strike, partial polarized light. (a) Sample RR2-5A (Bao Ha-Bao Yen). All garnet porphyroblasts (Gt) marked with grey color are truncated by  $S_1$  (light grey lines),  $S_2$  (dashed black lines) and  $S_3$  (solid black lines) indicating pre- or syn-growth with  $D_1$ . Sillimanite (Sil) wrap around garnet, and are sub-parallel to  $S_1$  and  $S_{2/3}$  foliation indicated the sillimanite grow post-garnet. All garnet porphyroblasts are fractured indicating that the samples experienced a late brittle deformation post all foliation development. (b) Sample RR2-2 (next to Bao Ha) showing well-developed S (light grey lines) C (solid black lines) and  $C'$  (dashed black lines) fabrics with muscovite fish (grey color) indicating left-lateral shear sense. (c) Sample M03-2 showing garnet with quartz inclusions within garnet and folded sillimanite parallel to  $S_1$ . (d) Sample RR2-7 (Yen Bai) taken under open nickel showing retrograde chlorite (Chl, light grey color) growth within biotite (Biot, dark grey color) and around garnets along  $S_2$  and  $S_3$  fabrics. (e) XZ plane of sample M03-4 showing fine grained inclusions within the core region of garnet porphyroblasts within inclusion-free rim, which suggest that there might be two growth stages.

$S_3$ ; Fig. 3), which possibly correspond to the folding events described above, were observed meso- and microscopically. We use the denotation  $S_{e/1}$  to indicate the oldest foliation observed that was reactivated or deformed by  $D_1$  or later deformation events. The earliest shallow NE dipping schistosity  $S_{e/1}$  (Fig. 3a) was only observed under the microscope. By looking towards N on vertical sections cutting perpendicular to lineation and dominant matrix foliation,  $S_{e/1}$  is striking NW–SE and shallowly dipping towards NE (Fig. 2). They are preserved as crenulated cleavages with sigmoidal shapes showing dominant clockwise (or “S”) asymmetry from flat to steep (Fig. 5a) between the seams of differentiated cleavage,  $S_1$ , on both vertical and horizontal thin sections (Fig. 5a). Steeply NE dipping  $S_1$  is axial planar to macroscopic upright NW–SE trending folds ( $F_1$ ), and generally consists of strongly differentiated quartz

and mica layers (Fig. 3). The  $S_1$  fabrics occur as open crenulated cleavages with sigmoidal shapes with an exclusive counter clockwise (or “Z”) asymmetry from steep to flat (Fig. 5b) between the seams of differentiated cleavage,  $S_2$ , on both horizontal and vertical thin sections looking towards N (Fig. 5b).  $S_2$  is generally NW–SE striking sub-horizontal to shallowly NE dipping, axial planar to the macroscopic sub-horizontal folds ( $F_2$ ) dipping both towards NE and SW (Fig. 2).  $S_2$  is locally intensely developed into differentiated crenulation cleavage with exclusive clockwise (or “S”) asymmetry from flat to steep (Fig. 5c) on horizontal thin sections yet opposite asymmetries across  $F_3$  fold limbs on vertical thin sections (Fig. 5c) by the  $F_3$  event.  $S_3$  is a very weak crenulation cleavage, which lies axial plane to macroscopic upright NW–SE trending folds ( $F_3$ ; Fig. 3). The mylonite samples show well-developed S/C fabrics

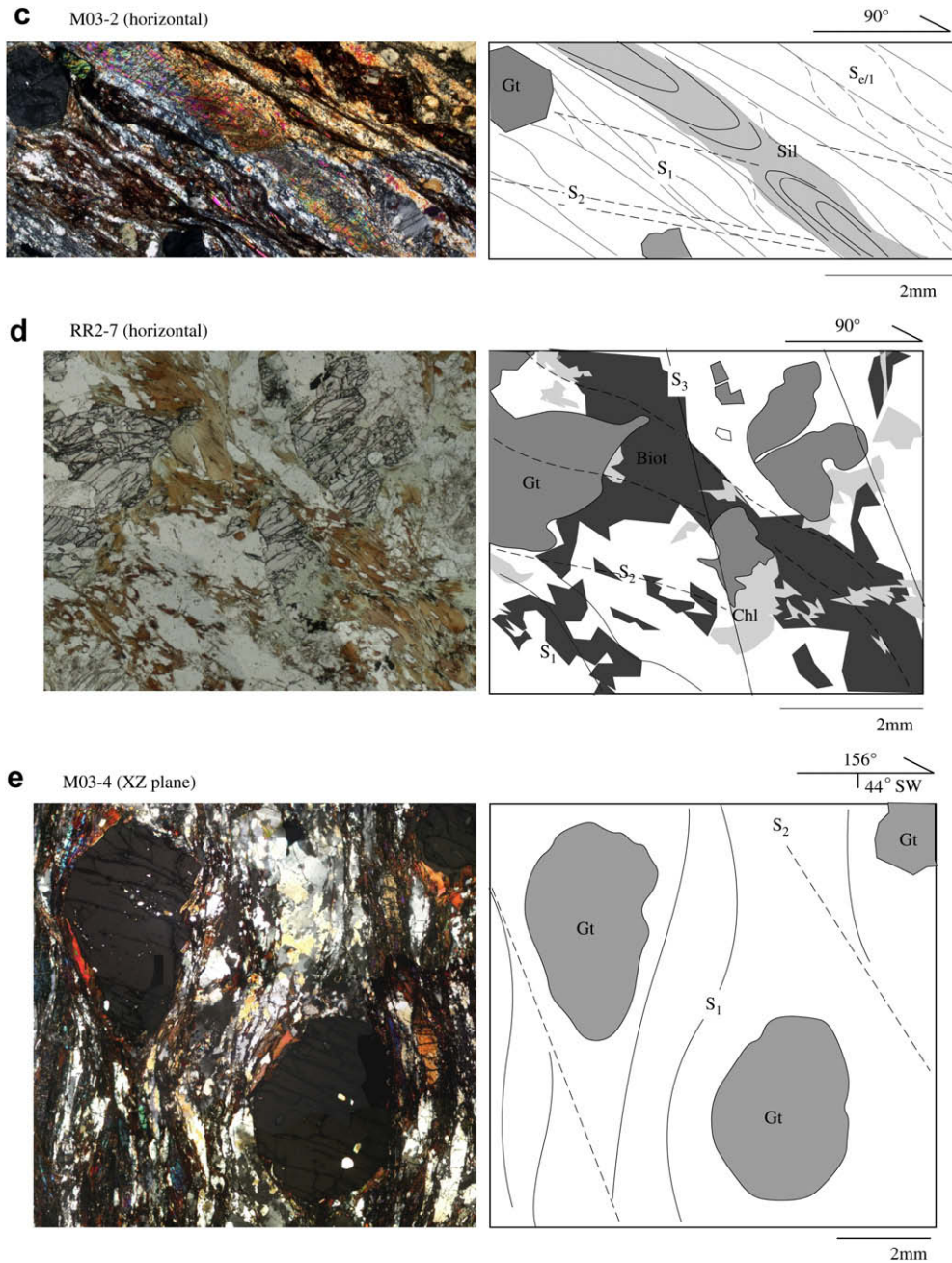


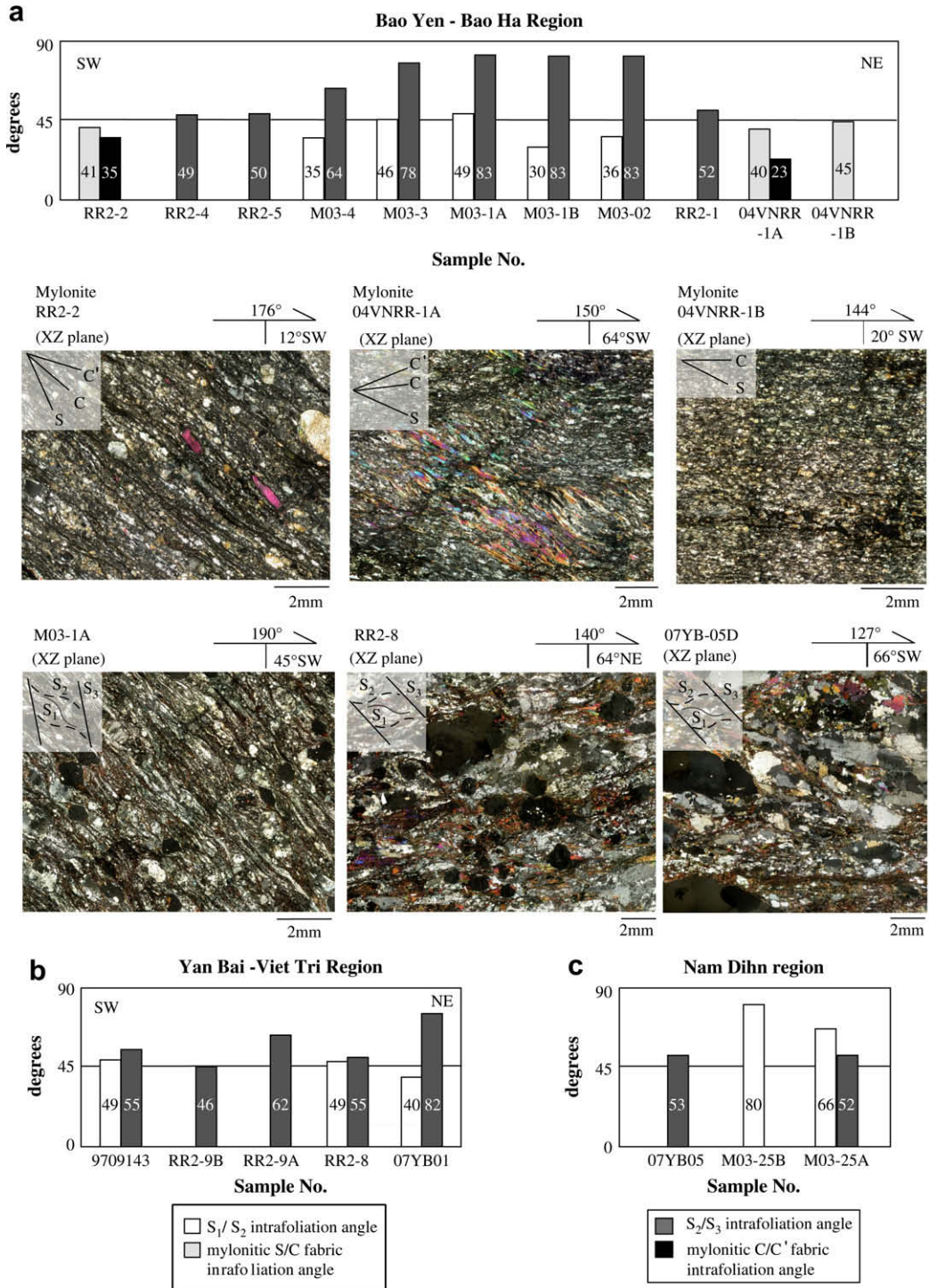
Fig. 3. (continued).

marked by quartz, feldspar, biotite, and muscovite fish (Figs. 3d and 4a). NE–SW striking, NW dipping ( $40\text{--}50^\circ$ ) S fabrics of all mylonite samples showed ubiquitous anticlockwise asymmetries (or “Z”) across  $F_3$  fold limbs on both XZ and horizontal thin sections (Fig. 5c). The orientation of C fabrics measured from mylonite samples along the Song Hong fault is parallel to the NW–SE strike of the RRSZ and close to vertical dipping, while the mylonite samples along the Song Chay fault also have RRSZ parallel WNW–ESE strike and are steeply dipping ( $60\text{--}70^\circ$ ) towards NE.

#### 4. Mineral assemblages and textures

All gneiss samples contain quartz, feldspar, plagioclase, biotite and opaque minerals, such as ilmenite and magnetite. Garnet, sillimanite, muscovite and retrograde chlorite also occur in some samples. According to the mineral assemblages recorded along the

Bao Yan to Bao Ha and Yen Binh to Yen Bai transects, the general isograd pattern seems to reflect the geometry of the  $F_3$  antiformal domes (Fig. 1b). This suggests that the core of the DNCV metamorphic dome experienced a higher metamorphic condition than both limbs. Two generations of garnet porphyroblast can be distinguished from core–rim relations as some samples contain inclusion-free rims with inclusion rich cores (Fig. 3e). The inclusions are composed mostly of quartz, mica and plagioclase and are generally fine grained than the matrix (Fig. 3c and e), but no inclusion trails were observed. All garnet porphyroblasts observed are truncated by matrix foliations suggesting that the matrix foliations formed later than the growth of garnet porphyroblasts during a long and complex deformation history. Garnet porphyroblasts with inclusion-free rims might have grown during matrix foliation development, but no timing criteria were available to demonstrate this. Most sillimanite bearing specimens contain these minerals in contact with biotite



**Fig. 4.** Series of histograms of intra-foliation angle difference measured from oriented samples plotted according to geographical distribution from N to S: (a) Bao Yen-Bao Ha region, (b) Yan Bai-Viet Tri region, and (c) Nam Dihn region. All intra-foliation angle differences measured are largest at the hinge of the dome and decreases towards the limbs, which corresponds to the refolding of recumbent fold into an antiformal dome geometry. Microphotograph of mylonite samples along the limbs of the dome showed well-developed S/C and C' fabrics, overprinting fabrics of S<sub>1</sub>, S<sub>2</sub> and S<sub>3</sub> can be seen for gneiss samples along the hinge of the dome. Please see text for further discussion.

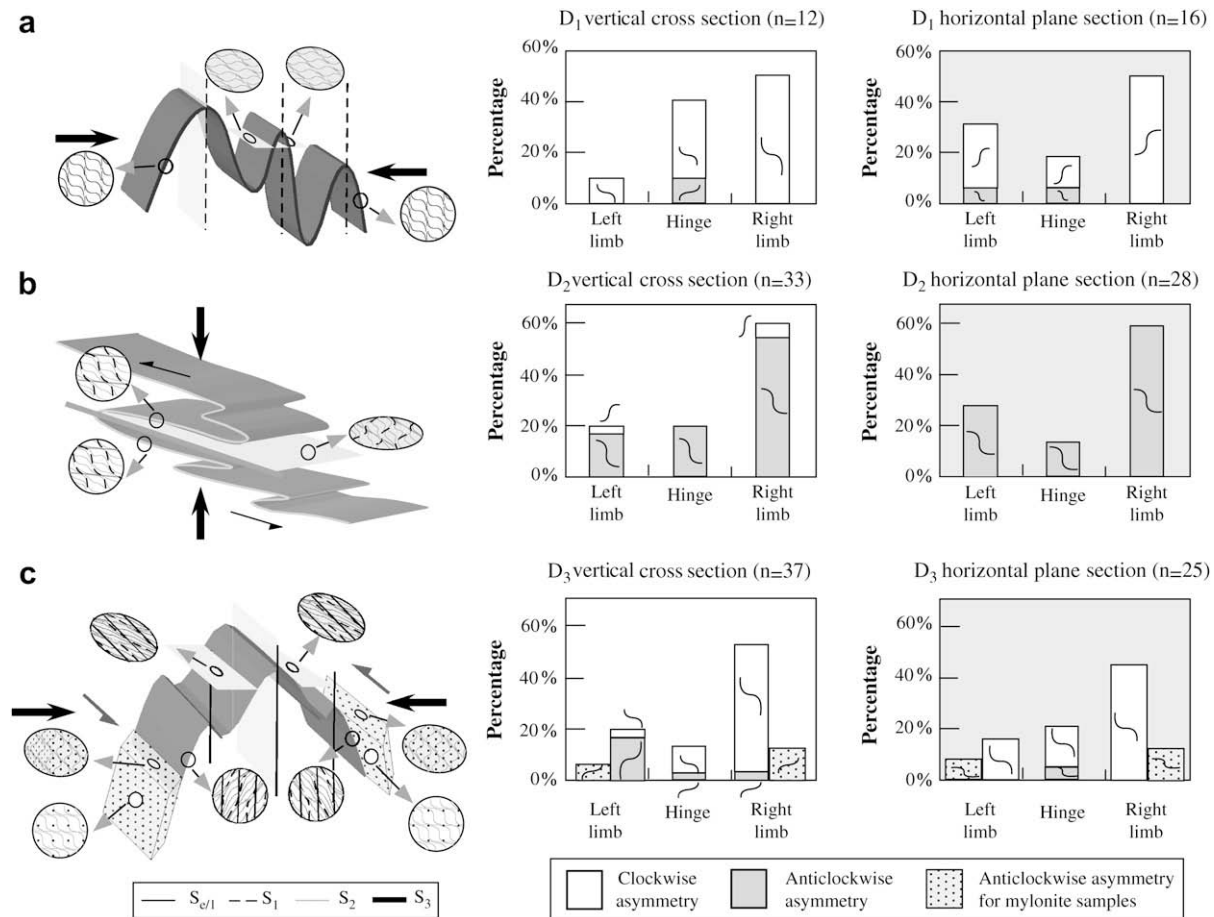
and garnet porphyroblasts (Fig. 3a and e). By observing the mineral contact relationships, the reaction for sillimanite growth should be: garnet (Fe<sub>3</sub>Al<sub>2</sub>Si<sub>3</sub>O<sub>12</sub>) + K-feldspar (KAlSi<sub>3</sub>O<sub>8</sub>) + H<sub>2</sub>O gives biotite (KFe<sub>3</sub>AlSi<sub>3</sub>O<sub>10</sub>(OH)<sub>2</sub>) + sillimanite (Al<sub>2</sub>SiO<sub>5</sub>) + 2 quartz. Fibrolitic sillimanite parallel to S<sub>1</sub> and locally to S<sub>2</sub> and the folding pattern observed (Fig. 3a and c) suggests a similar timing of growth for sillimanite minerals during F<sub>2</sub> with some growth possibly began after F<sub>1</sub> (Bell et al., 1986). The growth of pre to syn D<sub>2</sub> sillimanite in

micaschists and gneiss suggests that the temperature during F<sub>2</sub> folding reached the upper amphibolite facies.

**5. Kinematic interpretation**

Shear sense determinations along foliations in deformed and metamorphosed rocks are an important element of structural analysis, providing constraints on kinematic paths, and possibly





**Fig. 5.** Series of histograms and three-dimensional diagrams illustrating foliation asymmetries of each deformation event showing the asymmetry of matrix foliations separated across  $D_3$  fold limbs. (a) The percentage distribution of anticlockwise (grey columns) and clockwise (white columns) asymmetries preserved as  $S_{e/1}$  sheared by  $S_1$  from profile vertical thin sections looking towards N and  $S_{e/1}$  sheared by  $S_1$  from horizontal thin sections looking down with N pointing N. (b)  $S_1$  sheared by  $S_2$  from profile vertical thin sections looking towards N, and  $S_1$  sheared by  $S_2$  from profile vertical thin sections looking towards N. (c)  $S_2$  sheared by  $S_3$  from profile vertical thin sections looking towards N, and  $S_2$  sheared by  $S_3$  from profile vertical thin sections looking towards N.

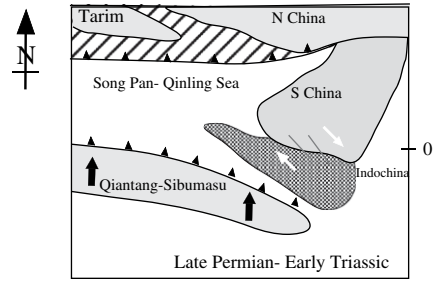
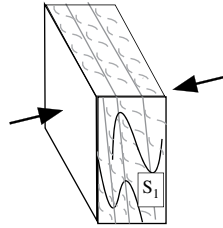
metamorphic paths. For the past few decades, numerous meso to microscopic scale deformation structures have been shown to be reliable shear sense indicators (e.g., [Hanmer and Passchier, 1991](#)). [Bell and Johnson \(1992\)](#) pointed out that since deformation partitioning controls the development of new foliations in part by the rotation of older ones, the geometry developed must reflect the strain field. Therefore, to determine the local shear sense for a particular deformation event, one needs only to distinguish the curvature of earlier formed foliation into a later one on profile sections (that is from zones of low strain to zones of high strain).

[Yeh \(2007\)](#) showed that by detailed examination of the foliation asymmetries looking towards the same direction on profile thin sections of each foliation, one can determine the changes of kinematic conditions and the relative timing of folding events. [Fig. 5](#) shows histogram plots of the asymmetry of each foliation curvatures separated according to  $F_3$  fold limbs. Although all  $S_{e/1}$  fabrics observed preserve flat to steep curvature with a dominant clockwise asymmetry from both  $F_3$  limbs on both vertical profile thin sections ([Fig. 5c](#)) and horizontal thin sections ([Fig. 5d](#)). We cannot just interpret the strain field for  $D_1$  is NE–SW compressional with dextral sense of shear. As pointed out by [Goscombe and Trouw \(1999\)](#), refolding of early foliations and associated sense of shear indicators is often not fully considered and can potentially lead to grossly incorrect tectonic interpretations. Thus, we only interpret the strain field for  $D_1$  is NW–SW compressional ([Fig. 6a](#)). All  $S_1$  show a steep to flat curvature that is counter clockwise towards the flat  $S_2$  on both vertical and horizontal thin sections ([Fig. 5e](#) and [f](#)).

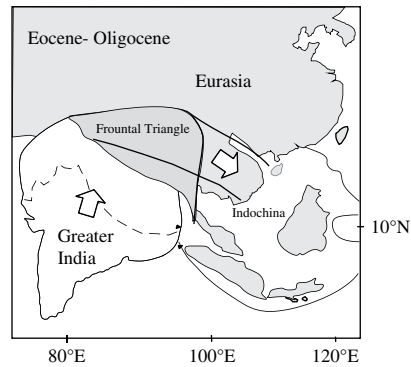
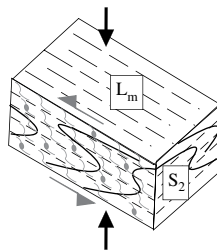
With the exclusive top to NW and bottom to SE sense of shear and the NW–SE trending flat-lying sillimanite lineation, we conclude that the  $F_2$  folds may have resulted from gravitational collapse with top to NW and bottom to SE simple shear generated by the horizontal midcrustal flow ([Fig. 6b](#)). Similar structures were also recognized by [Jolivet et al. \(2001\)](#), and were interpreted as evidence for the presence of a midcrustal sub-horizontal shear zone that joins the steep RRSZ.

[Ramsay \(1967\)](#), and [Powell \(1979\)](#) suggested that there is a systematic relation between fold geometry and fabrics initially orthogonal to the developing axial planar cleavage. This involves the foliation asymmetry reversing across the axial trace of a fold ([Fig. 5a](#) and [b](#)). In the case of the DNCV metamorphic complex, such asymmetry reversing was recorded for  $D_3$  ([Fig. 5g](#)). Sub-horizontal  $S_2$  fabrics were rotated clockwise and counter clockwise into steep  $S_3$  foliations at the right limbs and left limbs of  $F_3$ , respectively ([Fig. 5g](#)). Yet dominant clockwise asymmetries were recorded for  $S_2$  on horizontal thin section ([Fig. 5h](#)). Based on the foliation asymmetry recorded from vertical thin sections,  $F_3$  is the doming event of the DNCV metamorphic complex, during which the strain field must have remained compressional during  $F_3$ . Unlike the profile sections, only mylonite sample RR2-2 recorded anticlockwise asymmetry for C bending into C' on horizontal thin section ([Fig. 3b](#)), the others all show NW–SE trending shallow  $S_2$  bending in to NNW–SSE trending upright  $S_3$  clockwise ([Fig. 5h](#)). If clockwise reactivation of  $S_2$  occurred during  $F_3$  rather than development of an  $S_3$  axial plane cleavage ([Bell, 1986](#)), this would

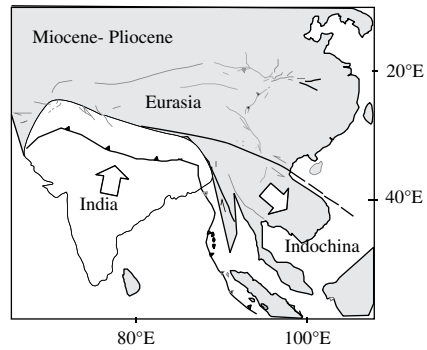
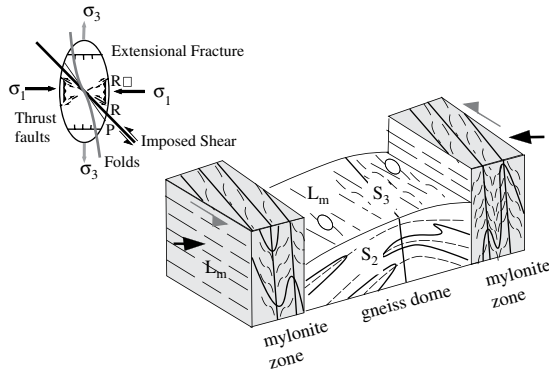
**a** D<sub>1</sub> Upright compressional folding (Indosinian Orogeny)



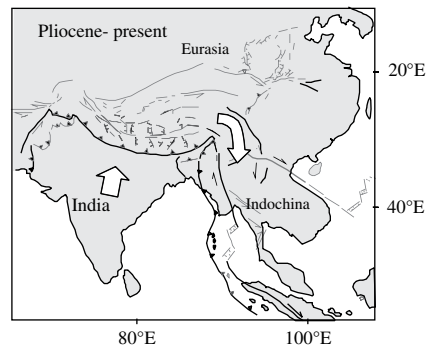
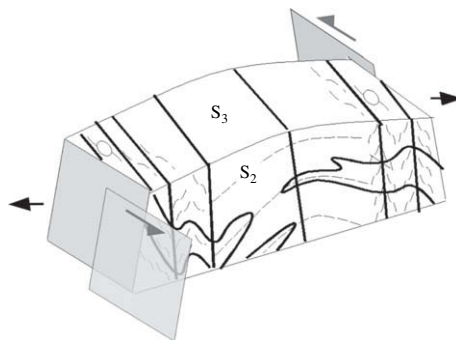
**b** D<sub>2</sub> : Recumbent folding event due to pure shear at mid-crustal level suggested by syn-tectonic NW-SE trending sub-horizontal plunging fibrolitic sillimanite . Geochronology data indicates the prograde metamorphism might had lasted till 28 Ma since the Indosinian Orogeny.



**c** D<sub>3</sub>: Doming event. Left-lateral transpressional shearing along steep shear zones (retrograde metamorphism post India-Eurasia collision forming up-right N-S trending folds. The cooling history based on Ar-Ar geochronology suggests the timing of D<sub>3</sub> is post 28 Ma)



**d** D<sub>4</sub> Extensional brittle deformation. Continuous left-lateral transverse activity of steep Song Hon and Song Chay strike-slip faults. (> 5 Ma)



**Fig. 6.** Three-dimensional block diagrams illustrating proposed deformation history with tectonic settings of DNCV (modified from Carter et al., 2001 and Replumaz and Tapponnier, 2003) with north pointing to the top.

have resulted in the primary anticlockwise  $S_1/S_2$  differentiation asymmetry being switched to clockwise and produce the geometry shown in Fig. 6c.

## 6. Geochronology correlation and reconstructed structural history

### 6.1. $D_1$

The earliest structures observable at outcrop scale are the relics of tight isoclinal upright folds ( $F_1$ ) with NE–SW striking, variably dipping axial planes ( $S_1$ ). 3D microstructural observations from orientated XZ, YZ, vertical and horizontal thin sections showed crenulated  $S_{e/1}$  as sub-horizontal to sub-vertical foliations with a dominant clockwise asymmetry looking towards N (Fig. 5a). This suggests that  $D_1$  was a horizontal shortening event (Figs. 5a and 6a). Since all garnet porphyroblasts have been truncated by the matrix foliations (Fig. 3a and c), metamorphism had reached garnet grade syn- or pre- $D_1$  deformation. Isotopic dating provides constraints allowing broad correlation of deformation and/or metamorphism to be made. Compilation of published radiometric isotope age data for the DNCV shows that the garnet grade metamorphism probably extended to around 255 Ma (Gilley et al., 2003). Based on the Sr–Nd characteristics of granitic rocks around the Song Ma ophiolite belt, Lan et al. (2000) reconstructed the crustal evolution of Northern Vietnam and suggested that the late Permian to early Triassic Dienbien Complex south of the Song Ma Suture was generated due to subduction-related processes during suturing between South China and Indochina (Indosinian Orogeny). With all rock members of the Song Ma ophiolite belt showing similar Ar–Ar ages (245 Ma; Lepvrier et al., 1997), Hutchison (1989a,b) suggested that this early Triassic suturing event had caused regional metamorphism and magmatism and interpreted this event to be the early phase of the Indosinian orogeny. With the similar age span for the regional metamorphism and the same NW–SE trend of  $D_1$  structures with the Song Ma suture, we suggest that the  $D_1$  structures were the result of the amalgamation between the South China and Indochina during the Triassic Indosinian Orogeny (Fig. 6a).

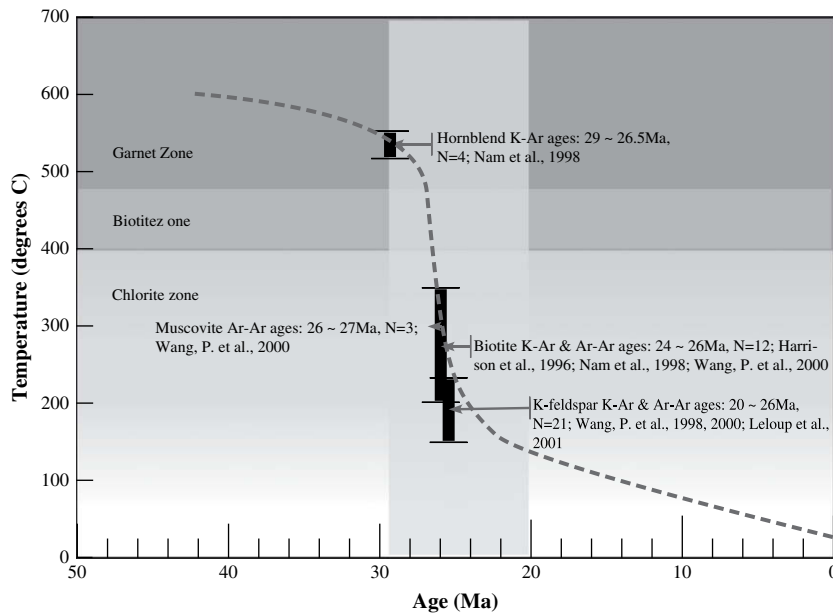
### 6.2. $D_2$

$D_2$  is the most dominant deformation and metamorphic event of the DNCV. It refolded  $D_1$  folds to sub-horizontal folds ( $F_2$ ) with sub-horizontal axial planes ( $S_2$ ), which overprinted  $S_1$  with a differentiated crenulation cleavage  $S_2$  containing an NW–SE trending sub-horizontal sillimanite mineral stretching lineation (Fig. 3a). Sub-vertical sigmoidal  $S_1$  foliations defined by elongated quartz, biotite, and sillimanite minerals are crenulated with an anticlockwise asymmetry looking N into  $S_2$  (Figs. 3 and 5b). This suggests that  $D_2$  formed by vertical shortening with top to W, NW shear that rotated  $F_1$  into recumbent folds or sheath folds at mid-crustal levels. Sillimanite parallel to  $S_1$  and  $S_2$  (Fig. 3) suggests that minerals grew during  $D_2$ . As indicated by garnet composition zoning (Nam et al., 1998) and sillimanite wrapping garnet porphyroblasts (Fig. 3a), prograde metamorphism occurred from  $D_1$  to  $D_2$  at under the mid-crustal levels. This implies that the regional metamorphism continued since the Triassic Indosinian Orogeny onward.

$D_2$  was the major structural and metamorphism event with foliations having exclusive top to W–NW sense of shear. Based on Gilley et al. (2003), the Pb/Th/U ages obtained from monazite extended from 255 to 21 Ma. All monazite inclusions within garnet porphyroblasts exhibit Pb/Th/U ages older than 43 Ma. This would suggest that garnet stopped growth even later than 43 Ma. By compiling Ar–Ar, K–Ar and Pb–U age data according to the closing temperature of various mineral reported by previous researchers (Harrison et al., 1996; Nam, 1998; Wang et al., 1998, 2000; Roger et al., 2000; Leloup et al., 2001a,b; Nam et al., 2001, 2002; Gilley et al., 2003; Liang et al., 2007), Fig. 7 clearly shows that the P–T conditions throughout the entire DNCV remained above 450 °C till 28 Ma, and no rapid cooling had occurred prior to 28 Ma. Based on the geochronology, microstructural constrains, we suggest that the  $D_2$  had lasted till 28 Ma after the Triassic Indosinian orogeny.

### 6.3. $D_3$

The youngest ductile deformation event observed along the DNCV metamorphic complex,  $D_3$ , is a doming event. This formed



**Fig. 7.** Diagram illustrating the proposed cooling path and distribution of  $^{40}\text{Ar}/^{39}\text{Ar}$  and K–Ar age data correlating to mineral closure temperatures. Age data combined from previously published isotope geochronology data (Harrison et al., 1996; Leloup et al., 2001a; Nam et al., 1998; Wang et al., 1998, 2000) obtained from gneiss, garnet mica schist, mylonite, and orthogneiss around DNCV metamorphic complex. Dark grey color indicates the temperature range for garnet zone, grey color indicates the temperature range for biotite zone, and the light grey color indicates the temperature range for chlorite zone. Dash line indicates the proposed cooling path of DNCV. With rapid cooling and temperature range at the chlorite zone, we propose that the  $D_3$  had begun after 28 Ma.

open upright folds ( $F_3$ ) with NW–SE striking (around  $310\text{--}330^\circ$ ), with vertically dipping (around  $88^\circ$  to NE) axial planes ( $S_3$ ). The pattern of generally increasing metamorphic grade of quartz + feldspar + plagioclase + biotite towards deeper structural levels in the center of the complex (quartz + feldspar + plagioclase + biotite + garnet  $\pm$  sillimanite) suggests that the major period of metamorphism occurred prior to  $D_3$  doming. Subhedral biotite and a few chlorite grains parallel to  $S_3$  suggest a retrograde metamorphic event during  $D_3$ . Nam et al. (1998) also noted a chlorite-grade retrogression stage after garnet growth. Muscovite, plagioclase, quartz and biotite commonly occur in cracks in garnet porphyroblasts. Anczkiewicz et al. (2007) ruled out the possibility that the pre-existing flat fabrics were passively rotated to their present upright position by folding or footwall dragging due to the lack of kinematic switch across the folds. They suggested that the DNCV antiformal uplifted and exhumed the pre-existing sub-horizontal midcrustal gneisses within a left-lateral transtensional crustal corridor. Based on low temperature (biotite growing into chlorite)  $S_3$  fabrics (Fig. 3d) and exclusive sinistral muscovite fish preserved within mylonite zones along both limbs of the DNCV antiform, we suggest that the DNCV antiform should have formed by refolding of  $D_2$  recumbent folds under left-lateral transpressional condition post-28 Ma, as the metamorphic condition had begun to show rapid cooling (Fig. 6c). The biotite and K-feldspar mineral separates from mylonite next to Bao Yen (RR15) yield Ar–Ar age of  $21.2 \pm 0.2$  Ma and  $21.5 \pm 0.2$  Ma, respectively (Wang et al., 1998) indicating that the left-lateral transpressional movement had reached a peak by this time.

#### 6.4. $D_4$

Brittle deformation structures, such as joint, left-lateral faults (striking NW–SE) in outcrop scale and fractures within mineral grains under the microscope, are younger than folding events as they all cross-cut the matrix foliations (Fig. 2). This suggests that the DNCV metamorphic complex was uplifted through the brittle-ductile transition zone during/or after  $D_3$ . With left-lateral faulting ( $D_4$ ) younger than the ductile deformation ( $D_3$ ), we interpret that  $D_4$  to have occurred during left-lateral movement along the RRSZ (Fig. 1c). Due to the lack of suitable dating material for  $D_4$ , it is difficult to determine the upper bound of this event. However, since no fault penetrated the 5.5 Ma unconformity according to seismic profiles and exploration wells in the Tonkin Gulf, Rangin et al. (1995) concluded that there was little motion of the RRSZ post-5.5 Ma. Therefore, we suggest that  $D_4$  might have lasted till 5 Ma.

### 7. Tectonic interpretation and discussion

Molnar and Tapponnier (1975) and Tapponnier et al. (1982) originally proposed the indentation–extrusion model as a tectonic mechanism to explain large-scale strike-slip faults in Asia. The indentation model treats the continental lithosphere as a rigid medium with most deformation concentrated along major strike-slip faults and little or no deformation between them. England and McKenzie (1982) and Burchfiel et al. (1989) proposed an alternate theory that the continental lithosphere is viscous and deformations are more distributed over hundreds to thousands of kilometers.

#### 7.1. Significance of the horizontal foliation

Generally, sub-vertical cleavages with sub-horizontal stretching lineations and sub-vertical folds are associated with strike-slip tectonics. However, our field data from the DNCV shows that sub-horizontal structures might also played an important role in the metamorphic and structural evolution. The presence of a flat foliation along with shallowly plunging NW–SE trending sillimanite

mineral stretching lineations within the DNCV had long been known (e.g., Tapponnier et al., 1990; Leloup et al., 2001a; Jolivet et al., 2001). Leloup et al. (2001a) noted that it formed the main difference between the DNCV and other metamorphic complexes of the RRSZ and identified the flatness of the foliation as result from vertical flattening during left-lateral transtensional movement along the RRSZ. Jolivet et al. (2001) and Searle (2006, 2007) suggested that strike-slip faults do not necessarily root deeply and, therefore, do not have to cut through the entire lithosphere. The DNCV might have been deformed at depth along a horizontal shear zone prior to exhumation through a steeper one. This corresponds to our observations as well. The presence of a sub-horizontal fold structure,  $F_2$ , which was not taken into account in previous studies, provides good evidence that these syn-tectonic sillimanite bearing flat foliations ( $S_2$ ) formed at midcrustal levels during regional metamorphism.

Leloup et al. (1995, 1999) and Scharer et al. (1990) had proposed shear heating alone as a sufficient heat source for metamorphism for the metamorphic complexes along the RRSZ. Similar regional metamorphic conditions and fabrics are also noted outside of the RRSZ, such as the Truong Son belt (Lepvrier et al., 1997) and Song Chay dome (Jolivet et al., 2001). Contradicting geochronologic data from monazite inclusions in garnet porphyroblasts (Gilley et al., 2003) show that high temperatures were maintained at midcrust level for about 10 My between the Oligocene and the Miocene. This suggests that  $D_2$  was long lasting, and that the dominant heat source generating amphibolite-facies metamorphism at the midcrust level was loading of the crust rather than shear heating. Burchfiel (2004) suggested that the northward indentation of India into Eurasia and gradients in gravitational potential energy from the central high Tibetan plateau were the main driving mechanism for crustal flow/rotation in this region. By modeling the effects of midcrustal channel flow in a thermal–mechanical model (HT1), Jamieson et al. (2004) successfully established several compatible features with the Himalayan–Tibetan system. Radioactive self-heating and rheological weakening of thickened crust can lead to the formation of a hot, low-viscosity midcrustal channel and a broad plateau, which stores the gravitational potential energy (Jamieson et al., 2004). As response to the topographically induced pressure, horizontal channel material at midcrustal level flows outward from the plateau (Royden et al., 1997; Clark and Royden, 2000; Jamieson et al., 2004).

#### 7.2. Compressional crenulation cleavage (CCC) vs. extensional crenulation cleavage (ECC)

Foliation anisotropy is often observed in metamorphic rocks within shear zones, and classically interpreted as shear bands (White, 1979),  $C'$ -bands (Ponce De Leon and Choukroune, 1980) or extensional crenulation cleavage (Platt, 1979, 1984; Platt and Vissers, 1980). These fabrics along with asymmetric boudinage, normal kink-bands (Cobbold et al., 1971; Cosgrove, 1976) were generally interpreted as a result of extension along the older anisotropy or shortening normal to the anisotropy. Their 'sense of shear' and geometrical relations are widely used to describe the kinematics and tectonic settings of the deformation (Berthe et al., 1979; Platt and Vissers, 1980; Simpson and Schmid, 1983; Lister and Snoke, 1984; Behrmann, 1987; Cosgrove, 2007). As Passchier and Trouw (1996) pointed out, shear bands may resemble the compressional crenulation cleavage (CCC) but develop by extension (extensional crenulation cleavages – ECC) of the older foliation rather than by shortening, and the main differences between CCC and ECC are the intra-foliation angle. Generally, the intra-foliation angle between CCC and pre-existing foliation ranges between  $45^\circ$  and  $90^\circ$ , while for ECC the angle to pre-existing foliation is less than  $45^\circ$ . Fig. 4 shows the intra-foliation angle measured between foliations from

stereonet across the DNCV metamorphic complex in relevant geographical locations. Other than samples from Nam Dinh, most  $S_1/S_2$  intra-foliation angles range between 53 and 30° with an average of 47°. Other than mylonite samples RR2-2, 04VNRR1a and 04VNRR1b, all  $S_2/S_3$  intra-foliation angles are higher than 45° (range between 46 and 83°; Fig. 4b) with an average of 62°, suggesting that  $S_3$  in gneiss samples are CCC formed under compressional condition and developed at high angle to the bulk shortening direction, which is generally E–W (Fig. 6c). Samples RR2-2 and 04VNRR1a are mylonites and both intra-foliation angles and foliation altitudes showed classical S/C and C' foliations (Fig. 3b). With evidences such as: the  $S_1/S_2$  intra-foliation angles' decreases towards the limbs of  $D_3$  dome (Fig. 4a), and much higher  $S_1/S_2$  intra-foliation angles recorded from region less effected by  $D_3$ , we interpret that the  $S_2$  foliations are also CCC and the bulk shortening direction would be gravitational (Fig. 6b). Unlike previous authors' interpretation (Leloup et al., 2001a), these oblique foliations observed from the DNCV metamorphic complex were not developed synchronously but were developed through multiple deformation episodes as demonstrated in this study.

## 8. Conclusion

Metamorphic rocks along the DNCV complex in Vietnam have been previously thought to be formed by shearing along the Ailao Shan–Red River Shear Zone during left-lateral shear and continental escape tectonics (Tapponnier et al., 1982, 1990; Leloup et al., 1995, 2001). However, a reassessment of the structural evolution of the DNCV metamorphic complex from the Red River Shear Zone in Vietnam shows that these gneisses were formed during earlier tectonic and metamorphic episodes unrelated to strike-slip shearing (Searle, 2006, 2007). Fabrics were superimposed after metamorphism and after granite formation. Key observations are: (1) the DNCV metamorphic complex in Northern Vietnam records three phases of folding followed by brittle deformation events lasting from the Triassic to the Tertiary. (2)  $D_1$  is preserved as NW–SE striking upright folds formed during the Triassic Indosinian orogeny as South China block collided with the Indochina block. (3) The large-scale horizontal  $D_2$  folds with a top to N/NW, bottom to S/SW, sense of shear, and fold axial planes developed in sillimanite-grade conditions suggest that the DNCV metamorphic complex was first deformed at midcrustal depths along a horizontal shear zone (Jolivet et al., 2001; Searle, 2006). (4) Based on Ar–Ar geochronological data, rapid cooling occurred after 28 Ma in the DNCV, suggesting that the left-lateral transpressional  $D_3$  doming event uplifted the DNCV. (5) Steep mylonite zones and brittle faults were developed along the steep Song Hong and Song Chay faults bounding the DNCV during continuous left-lateral movement of the RRSZ. The presence of this midcrustal horizontal shear zone provides an alternative interpretation to that of lithospheric scale strike-slip faults (such as the RRSZ). Such faults need not root from the mantle.

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